



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. .... 09/802,797  
Filing Date ..... Mar 09, 2001  
Confirmation No..... 8294  
Inventorship ..... Randall  
Applicant ..... Microsoft Corporation  
Group Art Unit ..... 3713  
Examiner ..... JONES, SCOTT E  
Attorney's Docket No. .... MS1-768US  
Title: Method and Apparatus for Managing Data in a Gaming System

73713  
Jfer

INFORMATION DISCLOSURE STATEMENT

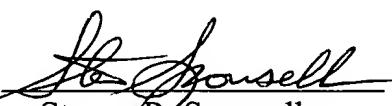
*References -- See Attached Form PTO-1449*

**REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 9-02-04

By:   
Steven R. Sponseller  
Reg. No. 39,384

09/08/2004 SDENB0B1 00000139 120769 09802797  
01 FC:1806 180.00 DA

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PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 180.00

<b>Complete if Known</b>	
Application Number	09/802,797
Filing Date	Mar 09, 2001
First Named Inventor	Randall
Examiner Name	JONES, SCOTT E
Art Unit	3713
Attorney Docket No.	MS1-768US

**METHOD OF PAYMENT (check all that apply)**

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
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 Deposit Account:

Deposit Account Number	12-0769
Deposit Account Name	Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input checked="" type="checkbox"/> Credit any overpayments
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b> 0.00	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	
			- 3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b> 0.00

\*or number previously paid, if greater. For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)** 180.00**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Steven R. Sponseller	Registration No. (Attorney/Agent)	39,384	Telephone	(509) 324-9256
Signature					
Date	9-02-04				

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/802,797
				Filing Date	Mar 09, 2001
				First Named Inventor	Randall
				Group Art Unit	3713
				Examiner Name	JONES, SCOTT E
				Attorney Docket Number	MS1-768US
Sheet	1	of	2		

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

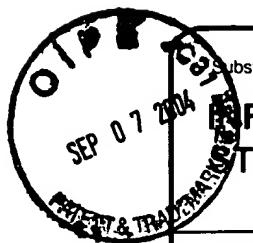
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

### Complete if Known

Application Number	09/802,797
Filing Date	Mar 09, 2001
First Named Inventor	Randall
Group Art Unit	3713
Examiner Name	JONES, SCOTT E
Attorney Docket Number	MS1-768US

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials'	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Melissa J Perenson, "Play Back Media Your Way" PC World 'Online! 1 June 2000 Retrieved from the Internet: url: <a href="http://www.pcworld.com/resource/printable/0aid1700100.asp">http://www.pcworld.com/resource/printable/0aid1700100.asp</a> . retrieved on July 08 2004
		Paul Thurrott, "Windows Media Player 7 reviewed" Winsupersite 'Online! 15 August 2000 Retrieved from the internet: URL: <a href="http://www.winsupersite.com/reviews/wmp7.asp">http://www.winsupersite.com/reviews/wmp7.asp</a> retrieved on 06-18-2004.
		"CES: Bill Gates zeigt die Xbox" Golem.de 'Online! 6-January 2000 Retrieved from the internet: url: <a href="http://dyn1.golem.de/cgi-bin/usisapi.d11/forprint?&amp;id11561">http://dyn1.golem.de/cgi-bin/usisapi.d11/forprint?&amp;id11561</a> > retrieved 6-18-2004
		"PlayStation 2 Instruction Manual" 'Online! 2000 Sony Computer Entertainment Retrieved from the internet: url: <a href="http://www.playstation.com">www.playstation.com</a> . retrieved on 2004-06-18
		"PS2 Frequently Asked Questions' All Playstation2 'Online! 29 July 2000 Retrieved from the Internet: URL: <a href="http://www.allps2.net/ps2_faq.html">http://www.allps2.net/ps2_faq.html</a> > retrieved on 2004-06-18
		Greg Suarez, "Sony PlayStation 2" The Digital Bits 'Online! 15 November 2000 retrieved from the internet: URL: <a href="http://www.thedigitalbits.com/reviews/ps2/sonyps2*.html">http://www.thedigitalbits.com/reviews/ps2/sonyps2*.html</a> > retrieved on 2004-06-18

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

		Application Number	09/802,797
		Filing Date	Mar 09, 2001
		First Named Inventor	Randall
		Group Art Unit	3713
		Examiner Name	JONES, SCOTT E
Total Number of Pages in This Submission		Attorney Docket Number	MS1-768US

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) Sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> PTO Form 1449; 12 cited references; return postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven R. Sponseller, Reg. No. 39,384
Signature	
Date	9-02-04

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Date	9/2/04

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